

## **CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

I hereby certify that the correspondence to which this certificate is affixed, including each document referred to therein as being enclosed or submitted therewith, is being deposited with the United States Postal Service with sufficient postage as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Lewis J. Kreister Reg. No. 38522

PATENT.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):

Shalini Sharma

Atty. Ref.:

18015-D3

Appl. No.:

10/684,740

Group Art Unit: 1624

Filed:

October 14, 2003

Examiner:

S. Patel

Title:

COMPOUNDS FOR THE TREATMENT OF METABOLIC

DISORDERS

May 28, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir:

## **SUPPLEMENTAL** INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Examiner is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are in the parent application serial number 10/167,839 and are therefore not being submitted with this IDS. 37 CFR 1.98(d).

In addition, applicants enclose an International Search Report, dated May 17, 2004, in connection with corresponding International Patent Application No. PCT/US02/18388. Each of the documents listed in the Search Report is listed in the Form PTO-1449 enclosed herewith.

Inventor(s): Sharma

Application No.: 10/684,740

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Each of WO 99/11255 and DE 2,309,986, which are not in the English language, either contains

or is accompanied by an English language abstract.

This Communication is being filed within three months of the filing date or before the mailing of

a first Office Action on the merits. 37 CFR 1.97(b).

Each of the documents listed on the enclosed Form PTO-1449 was first cited in the International

Search Report not more than three months prior to the filing of this Information Disclosure

Statement. 37 CFR 1.97(c) (1) and (e)(1). Consideration of this Statement is respectfully

requested.

It is believed that no fee is required in connection with the filing of this Statement. If any fee is

required, the Commissioner is hereby authorized to charge the amount of such fee to Deposit

Account No. 50-1677.

Respectfully submitted,

Lewis J. Kreisler

Reg. No. 38522

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PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known				
Application Number	10/684,740			
Filing Date	October 14, 2003			
First Named Inventor	Shalini Sharma			
Art Unit	1614			
Examiner Name	Sudhaker Patel			
Attorney Docket Number	18015-D3			

U.S. PATENT DOCUMENTS							
Examiner Initials		Document Number Number - Kind Code <sup>2</sup> (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US- 4,098,816	07/04/1978	Thorne, et al.			
		US- 4,638,094	01/20/1987	Nakai, et al.			
		US- 6,555,536	04/29/2003	Burris, et al.			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.1	Foreign Patent Document  Country Code 3 - Number 4 - Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6	
		WO 99/11255	03/11/1999				
		WO 99/20275	04/29/1999				
		WO 01/40170	06/07/2001				
		DE 2 309 986	08/29/1974				

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Examiner	Date
Signature	Considered

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
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Substitute for form 1449B/PTO				Complete if Known		
			201.001105	Application Number	10/684,740	
INFORMATION DISCLOSURE				Filing Date	October 14, 2003	
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	Shalini Sharma	
רוטן	LEMEIA	1017	AI I LIOAIII	Group Art Unit	1614	
	(use as ma	any sheets	as necessary)	Examiner Name	Sudhaker Patel	
Shee	et 2	of	2	Attorney Docket Number	18015-D3	

		NON PATENT PUBLICATIONS	
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	7
		Witiak, et al., "Synthesis of Ethyl 6-Substituted-Chroman-and-Chromone-2-carboxylates. A Comparative Structure-Activity Study Employing the 6-Phenyl and Phenoxy Analogs in the Triton	
	<u> </u>	Hyperlipidemic Rat Model", Journal Medical Chemistry, Vol. 18, No. 9, Pages 934-942, 1975.	- -
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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.